

Dailyraven Technologies Pvt Ltd

SEBI Registered Research Analyst - INH000015729
CIN: U74999BR2018PTC038208
Email: info@tradebrains.in Phone: (+91)-9834938257



Action Taken Report (ATR)

Name of Research Analyst	Dailyraven Technologies Private Limited
SEBI Registration No.:	INH000015729
BSE Enlistment No.:	6109
Entity Type:	RA
Financial Year:	2024-2025

Sr. No	Regulation	Particulars	Compliance Status	Reason for non-compliance	Auditor Comments Accepted (Yes/No)	Action taken on adverse findings
1	Regulation 2 (oa)	Is "principal officer" in case of non-individual research analyst engaged: (i) solely in providing research services, shall mean the managing director or designated director or managing partner or executive chairman of the board or equivalent management body who is responsible for the overall function of the business and operations of non-individual Research Analyst. (ii) in the activities other than Research services, through separate departments/divisions, may be the person at the management level who is a business head or unit head, responsible for the overall function of the business and operations related to research services: Provided that in case of non-individual Research Analyst being a partnership firm, one of the partners shall be designated as its principal officer.	Not-Complied	As per the regulation appointment of principal officer was mandatory but the entity did not appoint a Principal Officer during the audit period. However with effect from September 1 2025 the entity has appointed Ms Anoushka Roy as Principal Officer to ensure compliance with the regulatory requirements	Yes	Company complied with the said regulation on 01 September 2025

For Dailyraven Technologies Pvt. Ltd.

Kuntan Abhishek
Director

Registered Office: H/O Suman Sinha, East Laxmi Nagar, Khemnichak, Sampatchak, Patna, Bihar - 800027
Corporate Office: No 1212, SBM Fortune, First Floor, 22nd Cross, Club Road, Sector - 3, HSR Layout, Bengaluru, Karnataka - 560102

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2	Regulation 7 And SEBI circular Ref no. SEBI/HO/MIRSD/MIRSD- POD1/P/CIR/2025/004 dated January 08, 2025 point 2(i)	Qualification Requirement An individual Research Analyst or a principal officer of a non-individual Research Analyst registered as a Research Analyst under these regulations and persons associated with research services shall have minimum qualification and certification requirements as mentioned in Regulation 7(1) and 7(2). For the RAs existing as on 16 December 2024, it is clarified that the revised qualification requirements shall not be required to existing individual RAs, Principal officer or non-individual RAs or research entity, individuals employed as research analysts and partners of research analyst, if any, engaged in providing research services [Para 2.1. of SEBI/HO/MIRSD/MIRSD-POD1/P/CIR/2025/004]	Not-Complied	During the audit period the Research Analyst entity had not complied with the revised qualification and certification requirements under Regulation 7.1 and 7.2 read with SEBI Circular Ref No SEBI/HO/MIRSD/MIRSD-POD1/PCIR/2025/004 dated January 08 2025. However the entity has complied with the said requirements on 01 September 2025 with the appointment of Ms Anoushka Roy as Principal Officer	Yes	Company complied with the said regulation on 01 September 2025
3	SEBI circular Ref No SEBI/HO/MIRSD/ MIRSD-POD- 1/P/CIR/2025/004 dated January 08, 2025 – point no. 2(x)(e)	Client level segregation of Research and distribution activities Has the PAN of each client been recorded for identification and client-level segregation.	Not-Complied	As required under Regulation 25 iv amended wef 16th December 2024 the maintenance of Know Your Clients records requires to be updated with PAN of the clients Based on SEBI circular dated 8th January 2025 the KYC requirements are to be complied latest by 30th June 2025 Steps are underway to comply with the requirements	Yes	Steps are underway to comply with the requirements
4	SEBI circular Ref. No. SEBI/HO/MIRSD/ MIRSD-POD- 1/P/CIR/2025/004 dated January 08, 2025 – point no. 2(x)(iii)(a)	KYC Requirements RA or research entity shall follow the KYC procedure for their fee paying clients and maintain KYC records for their clients as specified by SEBI from time to time.	Not-Complied	As required under Regulation 25 iv amended wef 16th December 2024 the maintenance of Know Your Clients records requires to be updated with PAN of the clients Based on SEBI circular dated 8th January 2025 the KYC requirements are to be complied latest by 30th June 2025 Steps are underway to comply with the requirements	Yes	Steps are underway to comply with the requirements

For Dailyraven Technologies Pvt. Ltd.

Kuntan Mishra
Director

Dailyraven Technologies Pvt Ltd

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For Dailyraven Technologies Private Limited

For Dailyraven Technologies Pvt. Ltd.

Kritesh Abhishek

Kritesh Abhishek
Director

Director

DIN: 08138741

Date: 27-10-2025

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